



INVESTORS COMPLAINTS DATA FOR INITIAL PUBLIC OFFERS (IPOs) AND FURTHER PUBLIC OFFERS (FPOs) INCLUDING OFFER FOR SALE (OFS)

Details of Investors Complaints received by Ashika Capital Limited up to December 31, 2021 with respect to Initial Public Offers (IPOs) and Further Public Offers (FPOs) including Offer for Sale (OFS):

Data for the month ending December 31, 2021:

| S. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|--------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | NA | NA | NA | NA | NA | NA |
| 2 | SEBI (SCORES) | NA | NA | NA | NA | NA | NA |
| 3 | Stock Exchanges (if relevant) | NA | NA | NA | NA | NA | NA |
| 4 | Other Sources (if any) | NA | NA | NA | NA | NA | NA |
| | GRAND TOTAL | NA | NA | NA | NA | NA | NA |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|--------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1 | January, 2022 | | | | |
| 2 | February, 2022 | | | | |
| 3 | March, 2022 | | | | |
| 4 | April, 2022 | | | | |
| 5 | May, 2022 | | | | |
| | GRAND TOTAL | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 | NA | NA | NA | NA |
| 2 | 2022 | | | | |
| 3 | 2023 | | | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | GRAND TOTAL | | | | |



INVESTORS COMPLAINTS DATA FOR SME INITIAL PUBLIC OFFERS (IPOS) AND FURTHER PUBLIC OFFERS (FPOS) INCLUDING OFFER FOR SALE (OFS)

Details of Investors Complaints received by Ashika Capital Limited up to December 31, 2021 with respect to Initial Public Offers (IPOs) and Further Public Offers (FPOs) including Offer for Sale (OFS) by Small and Medium Enterprises:

Data for the month ending December 31, 2021:

| S. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|--------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | NA | NA | NA | NA | NA | NA |
| 2 | SEBI (SCORES) | NA | NA | NA | NA | NA | NA |
| 3 | Stock Exchanges (if relevant) | NA | NA | NA | NA | NA | NA |
| 4 | Other Sources (if any) | NA | NA | NA | NA | NA | NA |
| | GRAND TOTAL | NA | NA | NA | NA | NA | NA |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|--------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1 | January, 2022 | | | | |
| 2 | February, 2022 | | | | |
| 3 | March, 2022 | | | | |
| 4 | April, 2022 | | | | |
| 5 | May, 2022 | | | | |
| | GRAND TOTAL | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 | NA | NA | NA | NA |
| 2 | 2022 | | | | |
| 3 | 2023 | | | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | GRAND TOTAL | | | | |



INVESTORS COMPLAINTS DATA FOR RIGHTS ISSUES

Details of Investors Complaints received by Ashika Capital Limited up to December 31, 2021 with respect to Rights Issues:

Data for the month ending December 31, 2021:

| S. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|--------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | NA | NA | NA | NA | NA | NA |
| 2 | SEBI (SCORES) | NA | NA | NA | NA | NA | NA |
| 3 | Stock Exchanges (if relevant) | NA | NA | NA | NA | NA | NA |
| 4 | Other Sources (if any) | NA | NA | NA | NA | NA | NA |
| | GRAND TOTAL | NA | NA | NA | NA | NA | NA |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|--------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1 | January, 2022 | | | | |
| 2 | February, 2022 | | | | |
| 3 | March, 2022 | | | | |
| 4 | April, 2022 | | | | |
| 5 | May, 2022 | | | | |
| | GRAND TOTAL | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 | NA | NA | NA | NA |
| 2 | 2022 | | | | |
| 3 | 2023 | | | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | GRAND TOTAL | | | | |



INVESTORS COMPLAINTS DATA FOR QUALIFIED INSTITUTIONS PLACEMENTS (QIPs)

Details of Investors Complaints received by Ashika Capital Limited up to December 31, 2021 with respect to Qualified Institutions Placements (QIPs):

Data for the month ending December 31, 2021:

| S. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|--------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | NA | NA | NA | NA | NA | NA |
| 2 | SEBI (SCORES) | NA | NA | NA | NA | NA | NA |
| 3 | Stock Exchanges (if relevant) | NA | NA | NA | NA | NA | NA |
| 4 | Other Sources (if any) | NA | NA | NA | NA | NA | NA |
| | GRAND TOTAL | NA | NA | NA | NA | NA | NA |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|--------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1 | January, 2022 | | | | |
| 2 | February, 2022 | | | | |
| 3 | March, 2022 | | | | |
| 4 | April, 2022 | | | | |
| 5 | May, 2022 | | | | |
| | GRAND TOTAL | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 | NA | NA | NA | NA |
| 2 | 2022 | | | | |
| 3 | 2023 | | | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | GRAND TOTAL | | | | |



INVESTORS COMPLAINTS DATA FOR PREFERENTIAL ISSUES

Details of Investors Complaints received by Ashika Capital Limited up to December 31, 2021 with respect to Preferential Issues:

Data for the month ending December 31, 2021:

| S. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|--------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | NA | NA | NA | NA | NA | NA |
| 2 | SEBI (SCORES) | NA | NA | NA | NA | NA | NA |
| 3 | Stock Exchanges (if relevant) | NA | NA | NA | NA | NA | NA |
| 4 | Other Sources (if any) | NA | NA | NA | NA | NA | NA |
| | GRAND TOTAL | NA | NA | NA | NA | NA | NA |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|--------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1 | January, 2022 | | | | |
| 2 | February, 2022 | | | | |
| 3 | March, 2022 | | | | |
| 4 | April, 2022 | | | | |
| 5 | May, 2022 | | | | |
| | GRAND TOTAL | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 | NA | NA | NA | NA |
| 2 | 2022 | | | | |
| 3 | 2023 | | | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | GRAND TOTAL | | | | |



INVESTORS COMPLAINTS DATA FOR BUYBACK OF SECURITIES

Details of Investors Complaints received by Ashika Capital Limited up to December 31, 2021 with respect to Buyback of Securities:

Data for the month ending December 31, 2021:

| S. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|--------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | NA | NA | NA | NA | NA | NA |
| 2 | SEBI (SCORES) | NA | NA | NA | NA | NA | NA |
| 3 | Stock Exchanges (if relevant) | NA | NA | NA | NA | NA | NA |
| 4 | Other Sources (if any) | NA | NA | NA | NA | NA | NA |
| | GRAND TOTAL | NA | NA | NA | NA | NA | NA |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|--------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1 | January, 2022 | | | | |
| 2 | February, 2022 | | | | |
| 3 | March, 2022 | | | | |
| 4 | April, 2022 | | | | |
| 5 | May, 2022 | | | | |
| | GRAND TOTAL | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 | NA | NA | NA | NA |
| 2 | 2022 | | | | |
| 3 | 2023 | | | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | GRAND TOTAL | | | | |



INVESTORS COMPLAINTS DATA FOR DELISTING OF EQUITY SHARES

Details of Investors Complaints received by Ashika Capital Limited up to December 31, 2021 with respect to Delisting of Equity Shares:

Data for the month ending December 31, 2021:

| S. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|--------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | NA | NA | NA | NA | NA | NA |
| 2 | SEBI (SCORES) | NA | NA | NA | NA | NA | NA |
| 3 | Stock Exchanges (if relevant) | NA | NA | NA | NA | NA | NA |
| 4 | Other Sources (if any) | NA | NA | NA | NA | NA | NA |
| | GRAND TOTAL | NA | NA | NA | NA | NA | NA |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|--------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1 | January, 2022 | | | | |
| 2 | February, 2022 | | | | |
| 3 | March, 2022 | | | | |
| 4 | April, 2022 | | | | |
| 5 | May, 2022 | | | | |
| | GRAND TOTAL | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 | NA | NA | NA | NA |
| 2 | 2022 | | | | |
| 3 | 2023 | | | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | GRAND TOTAL | | | | |



INVESTORS COMPLAINTS DATA FOR SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS

Details of Investors Complaints received by Ashika Capital Limited up to December 31, 2021 with respect to Substantial Acquisitions of Shares and Takeovers:

Data for the month ending December 31, 2021:

| S. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|--------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | NA | NA | NA | NA | NA | NA |
| 2 | SEBI (SCORES) | NA | NA | NA | NA | NA | NA |
| 3 | Stock Exchanges (if relevant) | NA | NA | NA | NA | NA | NA |
| 4 | Other Sources (if any) | NA | NA | NA | NA | NA | NA |
| | GRAND TOTAL | NA | NA | NA | NA | NA | NA |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|--------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1 | January, 2022 | | | | |
| 2 | February, 2022 | | | | |
| 3 | March, 2022 | | | | |
| 4 | April, 2022 | | | | |
| 5 | May, 2022 | | | | |
| | GRAND TOTAL | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 | NA | NA | NA | NA |
| 2 | 2022 | | | | |
| 3 | 2023 | | | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | GRAND TOTAL | | | | |



COLLECTIVE INVESTORS COMPLAINTS DATA FOR

(i) INITIAL PUBLIC OFFERS (IPOs) AND FURTHER PUBLIC OFFERS (FPOs) INCLUDING OFFER FOR SALE (OFS)

(ii) SME INITIAL PUBLIC OFFERS (IPOs) AND FURTHER PUBLIC OFFERS (FPOs) INCLUDING OFFER FOR SALE (OFS)

(iii) RIGHTS ISSUES

(iv) QUALIFIED INSTITUTIONS PLACEMENTS (QIPs)

(v) PREFERENTIAL ISSUES

(vi) BUYBACK OF SECURITIES

(vii) DELISTING OF EQUITY SHARES

(viii) SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS

Data for the month ending December 31, 2021:

| S. No. | Received from | Pending as at the end of last month | Received during the particular month | Resolved during the particular month* | Total Pending during the particular month # | Pending complaints > 1 month | Average Resolution time [^] (in days) |
|--------|-------------------------------|-------------------------------------|--------------------------------------|---------------------------------------|---|------------------------------|--|
| 1 | Directly from Investors | NA | NA | NA | NA | NA | NA |
| 2 | SEBI (SCORES) | NA | NA | NA | NA | NA | NA |
| 3 | Stock Exchanges (if relevant) | NA | NA | NA | NA | NA | NA |
| 4 | Other Sources (if any) | NA | NA | NA | NA | NA | NA |
| | GRAND TOTAL | NA | NA | NA | NA | NA | NA |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S. No. | Month | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|--------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1 | January, 2022 | | | | |
| 2 | February, 2022 | | | | |
| 3 | March, 2022 | | | | |
| 4 | April, 2022 | | | | |
| 5 | May, 2022 | | | | |
| | GRAND TOTAL | | | | |

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month

* Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month.

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S. No. | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|--------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2021 | NA | NA | NA | NA |
| 2 | 2022 | | | | |
| 3 | 2023 | | | | |
| 4 | 2024 | | | | |
| 5 | 2025 | | | | |
| | GRAND TOTAL | | | | |